

STA

88TH ANNUAL MARKET STRUCTURE CONFERENCE

THE GREAT RETURN



DIVERSE AUDIENCE OF INDUSTRY PARTICIPANTS



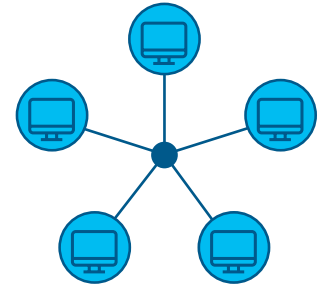
ATTENDANCE STATISTICS



1,504 Total Registrants

490 In Person

1,014 Virtual



Virtual Engagement

Total Attendees: 925

Total Engagements: 3,259

Engagements per Attendee: 3.52

CONFERENCE SESSION HIGHLIGHTS

Rep. Bill Huizenga, Ranking Member of the House Financial Services Subcommittee on Capital Markets, offered his perspective on capital formation, retail investing and the general state of Congress.

SEC Commissioner Allison Lee gave an update on the SEC's priorities relating to market structure, and her opinion on investor protection topics associated with conflicts of interests and the demands for information on ESG.

A leading advocate of the U.S. equities markets, **NYSE President Stacey Cunningham** discussed the choices companies have today in taking the public route, and the latest developments in the exchange space.

In separate interviews, **Virtu CEO Doug Cifu** and **Robinhood Chief Legal Officer Dan Gallagher** shed light on topics currently under the microscope, including payment for order flow and gamification.

Heather Brilliant, President & CEO of **Diamond Hill Asset Management** delivered the STA Women in Finance keynote address, sharing her experiences on achieving cognitive diversity.

Former CFTC Chairman J. Christopher Giancarlo spoke about blockchain technology and the value it brings, and the current political and regulatory environment surrounding digital assets.

Tim Mahoney, retired CEO of **BIDS Trading**, received STA's 2021 Dictum Meum Pactum (DMP) Award.

SAVE THE DATE



October 12-14, 2022

**89th Annual Market Structure Conference
JW Marriott Washington DC**

For more information on STA and the STA Market Structure Conference, including sponsorship opportunities, contact STA at sta@securitytraders.org or 646.699.5996.

2021 ATTENDING FIRMS (BY FIRM TYPE)

ACADEMIA

Babson College
College of Marin
Georgetown University
Hamilton College
Iona College
Pepperdine University
SMU Cox School of Business
Texas A&M University
UC Berkeley Haas School of Business
University of North Florida
University of Tennessee

ATS

BIDS Trading*
ClearList
CODA Markets
IntelligentCross
Level ATS*
Liquidnet*
Luminex Trading
MATCHNow A Cboe Global Markets
Company
OneChronos
OTC Markets Group*
Tradeweb* **

BROKER DEALER

Altruist
Ascendant Capital Markets
B. Riley Financial
Baird*
Bancroft Capital
Bank of America Securities*
Barclays
Berenberg Capital Markets
BGC
Bloomberg
BMO* **
BNP Paribas
BNY Mellon Capital Markets*
Boenning & Scattergood
BTIG
Canaccord Genuity Group*
Cantor Fitzgerald
CAPIS*
Capital One
CIBC Capital Markets
Citadel Securities*
Citi*
Clearpool*
Comhar Capital Markets
Cowen & Co.
Credit Suisse*
Drexel Hamilton
Evercore ISI
Fidelity*
Fidelity Digital Assets
GIX - Susquehanna
Goldman Sachs
GTS Securities*
Guzman & Company*
Hilltop Securities
Hudson River Trading*
IMC*
Instinet*
J.P. Morgan*
Jane Street*
Janney Montgomery Scott
Jefferies
JonesTrading*
KeyBanc Capital Markets*
LEX Markets
Lime Execution*
M Stevens Wealth Advisors
Matrix Executions
MCAP LLC*
Mizuho Capital
MKM Partners
Morgan Stanley*
National Bank Financial
National Securities Corporation
Oppenheimer & Co.*
Piper Sandler*
Raymond James & Associates
RBC Capital Markets
Rosenblatt Securities*
Scotia Bank
Siebert Williams Shank & Co.*
Societe Generale
Stifel Nicolaus
StoneX Financial*

Stuart Frankel
TD Securities*
Themis Trading
Truist Securities
Tullett Prebon
Two Sigma Securities*
UBS*
Virtu Financial*
WallachBeth Capital
Wells Fargo Securities*
William Blair & Co.
Wolverine Execution Services*

BUY SIDE

Alberta Investment Management
Corp
AQR Capital Management
Aquamarine Management
Aquatic
Arrow Mark Partners
ATB Financial
Atlanta Capital Management
Balentine
BlackRock
BMO Asset Management
Bridgefront Capital
Cambiar Investors
Capital Group
ClearBridge Investments
Conestoga Capital Advisors
Cornerstone Investment Partners
Diamond Hill Capital Management
Discovery Capital
FCI Advisors
Federated Investors
Fiduciary Management
Fifth Third Bank
Forefront Income Trust LLC
GMO
Invesco*
J.P. Morgan Asset Management
Luther King Capital Management
Macquarie Asset Management
Marsico Capital
Masters Capital Investments
Monarch Partners
Northern Trust Company
Northwestern Mutual
OMERS Capital Markets
Ontario Teachers' Pension Plan
Osterweis Capital Management
Pacific Ridge Capital Partners
Parametric
PineBridge Investments
Pioneer Investments
Polen Capital Management
Quest Investment Management
Ranger Capital Group
RBC Global Asset Management
River Road Asset Management
RPM Financial Markets Group
Russell Investments
Scout Investments
Sit Investment Associates
Squarepoint Capital
State of Wisconsin Investment
Board
State Street Global Advisors*
Sterling Capital Management
Sutton View Capital
T. Rowe Price
TD Asset Management
Teachers Retirement System of
Georgia
The London Company of Virginia
The Philadelphia Trust Company
Third Avenue Management
TPG Capital
Viewpoint Investment Partners
Wellington Management
Wells Fargo Asset Management
William Blair Investment
Management
WisdomTree Asset Management
CLEARING
ABN Amro Clearing
Apex Clearing
Apex Fintech Solutions
Broadridge
Clear Street* **
Fidelity Clearing Canada

Paxos*
tFOSE Group Holdings Inc.

EXCHANGE

24 Exchange Bermuda Limited*
ASX Limited
BOX Options Exchange*
Canadian Securities Exchange*
Cboe Global Markets*
CME Group*
Coinbase
ErisX
ICE
IEX Group*
London Stock Exchange Group
Long Term Stock Exchange
MEMX*
MIAX Exchange Group*
Nasdaq*
Nasdaq Canada
NYSE*
TMX Group*
Tokyo Stock Exchange
Toronto & Montreal Stock Exchange

GOVERNMENT/ REGULATOR/SRO

DTCC*
Federal Reserve Bank of Chicago
FHLBC
FINRA
House Financial Services Committee
Ontario Securities Commission
Senate Banking Committee
The Options Clearing Corporation*
US Securities & Exchange
Commission

LAW FIRM/CONSULTANT

3Points Communication
ACA Compliance Group
Bilkar Consulting
Carlton Fields PA
Clyde & Co
Coalition Greenwich*
Deloitte
Delta Strategy Group
Estella LLC
ETFGI LLP
Financial Information Forum*
Forefront Communications* **
FTI Consulting
Hakker & Associates
Jordan & Jordan
Mercatus Strategic
Morvillo Abramowitz Grand Iason
& Anello PC
Murphy & McGonigle*
Olmstead Associates
Regulatory Ridge
S&P Dow Jones Indices
Sidley Austin LLP
Sobba Public
Sophron Advisors
Succession Systems
V&S Strategic Consulting
Williams & Jensen*
Willkie Farr & Gallagher LLP

PRESS

Bloomberg Law
Bloomberg News
Curatia LLC
Forbes
Fortune
Global Investor
Infopro-Digital
John Lothian News
Markets Media Group
Nasdaq TradeTalks
Pensions & Investments
POLITICO
Risk.net
Tabb Group
The Options Insider
The Wall Street Journal
Thomson Reuters
Washington Post

PRINCIPAL TRADING FIRM

Belvedere Trading
Chicago Trading Company*
Citadel Securities*

Flow Traders*
Group One Trading*
Hudson River Trading*
IMC*
Jane Street*
Jump Trading
Optiver*
Rialto Markets
Select Vantage Inc.
Simplex Trading*
Sterling Trading Tech*
Susquehanna International Group*
T3 Trading
Trillium Management*
Two Sigma Securities*
Virtu Financial*
Walleye Capital*

RETAIL ORDER ENTRY

Ameriprise Financial
Cetera Financial Group
Charles Schwab & Co.
E*TRADE
Edward Jones
Robinhood*
TD Ameritrade
TradeStation Securities
Vanguard
Webull Financial
Wells Fargo Advisors

TRADE ASSOCIATION/CHARITY

Autism Science Foundation
Boston STA*
Canadian STA*
Carolina STA
Dallas STA*
Denver STA*
Foundation for Women Warriors
Georgia STA*
Greenwood Project
Kansas City STA
Managed Funds Association
Mid-Atlantic STA*
Minnesota STA*
Modern Markets Initiative
Ohio STA
San Francisco STA*
SIFMA
STA
STA Chicago*
STA Connecticut*
STA Florida
STA New York*
STA St. Louis*
STA Wisconsin*

VENDOR

ACS Execution Services
Altair Engineering
BXS*
DASH Financial Technologies*
ETF Global
eToro
Eventus Systems*
Exegy* **
FIS
FlexTrade Systems*
Global Liquidity Partners
InvestX Capital*
ION*
Itiviti a Broadridge Business
LiquidityBook*
MayStreet*
NovaSparks
Pico
Pragma LLC*
Refinitiv
Resonant Capital Advisors
S3*
Score Priority
SIAC
SS&C Technologies
Trafix

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Sponsors*

5

Vendors**