

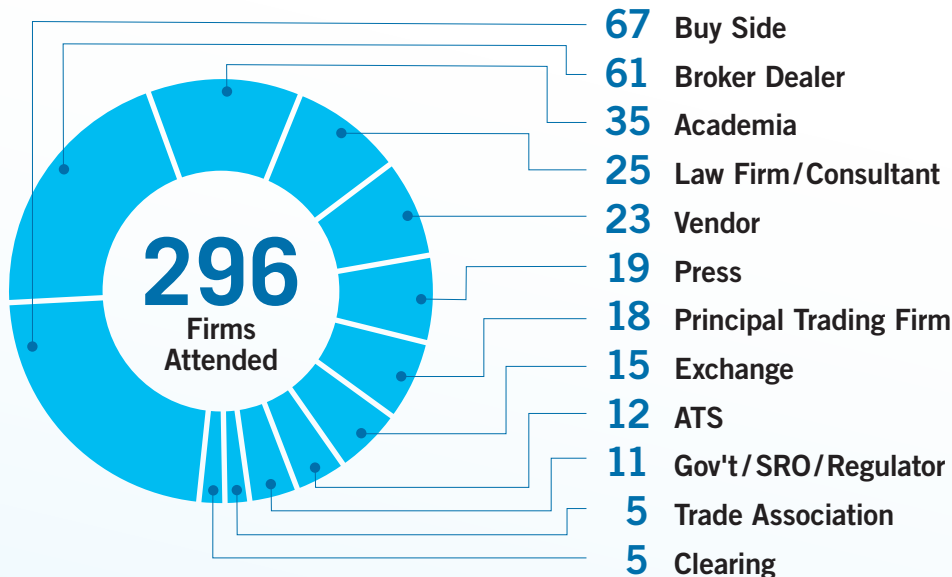
a new
today

STA

2020 MARKET STRUCTURE VIRTUAL CONFERENCE



Diverse Audience of Industry Participants



Session Highlights

2
Days

29
Sessions

76
Speakers

Rep. Bill Huizenga of Michigan offered his perspective on the state of market oversight as well as other political and societal issues facing our nation.

CFTC Commissioner **Dawn DeBerry Stump** described her work to ensure fair and functioning markets and the importance of her role as its only female commissioner.

SEC Commissioner **Elad Roisman** discussed a wide range of topics relating to market structure, from capital formation to asset management to the rise of off-exchange, non-ATS trading.

FINRA CEO **Robert Cook** answered key questions on the Consolidated Audit Trail, in addition to accepting the 2020 Dictum Meum Pactum Award on behalf of Tom Gira.

Refinitiv's **Debra Walton** delivered the Women in Finance keynote address, detailing her career path and her views on what our industry can do to increase diversity and inclusion.

Danielle DiMartino Booth of Quill Intelligence explained the historical role of the Federal Reserve in our market structure and how it affected market turmoil in 2020.

Attendee Engagement



336 Average Viewers Per Session

551 Average Views Per Session

Broad Attendee Reach



1,525 Industry professionals from **15** countries



129 Students from **35** universities

Virtual Vendor Hub



Attendees interacted with **11** participating firms to:

- View Digital Content
- Chat Live
- Schedule a Meeting

Save the Date

October 6-8, 2021

88th Annual
Market Structure Conference

JW Marriott Washington DC



For more information on STA and the STA Market Structure Conference, including sponsorship opportunities, contact STA at sta@securitytraders.org or 646.699.5996.

2020 Attending Firms (by firm type)

ATS

BIDS Trading*
CODA Markets*
 Coinbase
 IntelligentCross
Level ATS*
Liquidnet*
 Luminex Trading & Analytics
 MarketAxess
OneChronos* **
OTC Markets Group*
Tradeweb* **
 Zanbato

BROKER DEALER

B. Riley & Co. LLC
Baird*
 Bancroft Capital
Bank of America Securities*
 Barclays
 Beacon Securities
 Berenberg Capital Markets
 Bernstein
 Blackrock
 BMO Capital Markets
 Cantor Fitzgerald
CAPIS*
CastleOak Securities*
 CIBC Capital Markets
Citadel Securities*
Citi*
Clearpool* **
 Comhar Capital Markets
 Cowen
Credit Suisse*
 Evercore ISI
Fidelity Capital Markets*
 G1X - Susquehanna
 Global Liquidity Partners
 Goldman Sachs
 Great Pacific
 GTS Securities
Guzman & Company*
 IMC
Instinet*
 Institutional Securities
J.P. Morgan*
Jane Street*
JonesTrading*
 Kansas City Capital Associates,
 a division of Oppenheimer & Co.
KeyBanc Capital Markets*
 Mackie Research Capital
 Corporation
Matrix Executions* **
MCAP*
Morgan Stanley*
 National Bank Financial
 National Securities
Oppenheimer & Co.*
 Penserra Securities
Piper Sandler*
RBC Capital Markets*
Rosenblatt Securities*
 Scotiabank
 Siebert Williams Shank & Co.
 Stifel
StoneX Group*
 Stuart Frankel & Company
TD Securities*
 Themis Trading
Two Sigma Securities*
UBS*
 USAM Group
Virtu Financial*
 Wedbush Securities
Well Fargo Securities*
WEX*

BUY SIDE

Allianz Global Investors
 Atlanta Capital Management Co.
 Backstop Solutions Group
 BEC Capital
 BMO Global Asset Management
 Brandes Investment Partners L.P.
 CalPERS
 Cambiar Investors

Capital Group
 CastleArk Management
 ClearBridge Investments
 Cohen and Steers
 Conestoga Capital Advisors
 Discovery Capital
 Earnest Partners
 Employees Retirement System
 of Texas
 Empyrean Capital
 FCI Advisors
 Federated Hermes
 Fidelity Investments
 Fifth Third Bank
 Fisher Investments
 Foundry Partners
 Glenmede Investment Management
 GMO
 Hodges Capital Management
 Intact Investment Management
Invesco*
 J.P. Morgan Asset Management
 Janus Henderson
 KeyBank NA
 Luther King Capital Management
 Mackenzie Investments
 Macquarie Investment Management
 Man Group
 Mercer Advisors
 Ohio Public Employees Retirement
 System
 Ontario Municipal Employees
 Retirement System
 Ontario Teacher Pension Plan
 Osterweis Capital Management
 PA Public School Employee
 Retirement System
 Pacific Ridge Capital
 Parametric
 PIMCO
 Polen Capital
 Quantlab Brokerage
 Quest Investment Management
 Ranger Investments
 RBC Global Asset Management
 Russell Investments
 Sasco Capital
 Silvercrest Asset Management
 Group
 SIT Investment Associates
State Street Global Advisors*
 STRS Ohio
 TD Asset Management
 The London Company of Virginia
 The Northern Trust Company
 Thompson Siegel & Walmsey Inc.
 TPG Public Equity Partners
 Viewpoint Investment Partners
 Voya Investment Management
 Waratah
 Wellington Management
 Wells Fargo Asset Management
 William Blair Investment
 Management
 WisdomTree Asset Management

CLEARING

ABN AMRO Clearing Chicago
Clear Street**
 Fidelity Clearing Canada
Paxos*
 SEIA

EXCHANGE

BOX Options Exchange*
Canadian Securities Exchange*
Cboe Global Markets*
CME Group*
IEX Exchange*
 Johannesburg Stock Exchange
 London Stock Exchange Group
MEMX*
MIAX Exchange Group*
Nasdaq*
 Nasdaq Canada
 NEO Exchange
NYSE/ICE*
TMX Group*
 Tokyo Stock Exchange

ACADEMIA

Babson College
 Bentley University
 Carnegie Mellon University
 Columbia Business School
 DePaul University
 Diablo Valley College
 Georgetown
 Georgia State University
 Gordon College
 Illinois State University
 Middlebury College
 Molloy College
 Mount St. Mary's University
 Northeastern Illinois University
 Rollins College
 Rowan University
 SMU Cox School of Business
 St. John's University
 State University of New York at
 Fredonia
 UC Berkeley Extension
 UC Cal Davis
 University of California Berkeley
 Haas School of Business
 University of Connecticut
 University of Illinois at Chicago
 University of San Francisco
 University of Tennessee
 University of Texas at Austin
 McCombs School of Business
 University of Virginia
 University of Waterloo
 University of Wisconsin-Milwaukee
 Valparaiso University
 Villanova University
 Washington University in St. Louis
 Wheaton College
 Widener University

GOVERNMENT/ REGULATOR/SRO

Alberta Securities Commission
 Autorite des Marches Financiers
 Commodity Futures Trading
 Commission
 Department of Veterans Affairs
DTCC*
 FINRA
 House Financial Services
 Committee
 Ontario Securities Commission
Options Clearing Corporation*
 Securities and Exchange
 Commission
 Senate Banking Committee

LAW FIRM/CONSULTANT

3Points Communications
 ATYP Global Advisors
 Bain & Company
**Burton-Taylor International
 Consulting***
 Edelman
 Estella LLC
Financial Information Forum*
 FinEngine
Forefront Communications* **
Greenwich Associates*
 Index IQ/NYLIM
 Integrity Research Associates
 Jordan & Jordan
 MfL Associates Inc.
 Milken Institute
 Morakis Trading Insights LLC
 Morvillo Abramowitz Grand Iason &
 Anello PC
Murphy & McGonigle*
 Patomak Global Partners
 Quoyi
 Regulatory Ridge
 RRW Consulting Group
 Ryan Kiko Financial Research Unit
 S&P Dow Jones Indices
Williams & Jensen*

PRESS

Alpha Journal
 Bloomberg Law
 Bloomberg News

Curatia
 Exchange Invest
 Finadium
 Futures Industry Association
 John Lothian News
 Markets Media
 Pensions & Investments
 Planet Club Media
 Politico
 Reuters
 S&P Global Market Intelligence
 Tabb Group
 The Daily Post
 The Options Insider Media Group
 Thomson Reuters
 Traders Magazine

PRINCIPAL TRADING FIRM

Chicago Trading Company*
Citadel Securities*
 Flow Traders US
Group One Trading*
GTS Securities*
IMC*
 Independent Trading Group
 Jane Street
 Jump Trading
 Select Vantage
Simplex Investments*
 Sterling Trading Tech
Susquehanna International Group*
Trillium* **
 Two Sigma Securities
 Velocity Ledger
 Virtu Financial
Walleye Trading*

RETAIL ORDER ENTRY

Ameriprise Financial
 Associated Bank
 Cetera Financial Group
 Charles Schwab & Co. Inc.
 E*TRADE
 Edward Jones
 Fidelity Capital Markets
 Robinhood Securities
 TD Ameritrade
 TradeStation Securities
 Vanguard
 Wells Fargo Advisors

TRADE ASSOCIATION

Canadian STA*
Dallas STA*
 Modern Markets Initiative
 STA
 STANY

VENDOR

Advintro
 Allvue Systems
Best Execution Solutions*
 Broadridge
 CoinRoutes
DASH Financial Technologies*
 Eventus Systems
 Exegy
 Finmax Tech
FlexTrade Systems*
 FRNT Financial
ION Markets* **
 Itiviti NYFIX
Knopman Marks Financial Training*
OpenFin**
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Pragma Trading* **
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S3* **
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Vela*

75 Sponsors*
11 Vendors**