



# 2018 Market Structure Conference

STA

## Diverse Audience of Industry Professionals



## Session Highlights

### TD Ameritrade President & CEO Tim Hockey

Discussed the Client Experience with Sandler O'Neill's Rich Repetto

### U.S. Senator Ben Sasse

Presented to an engaged standing room only crowd to close out Day 1

### FINRA President & CEO Robert Cook

Discussed cross market surveillance and other timely topics

### SEC Director, Trading & Markets Division Brett Redfearn

Addressed key SEC initiatives

### UBS Asset Management's Suni Harford

Insightful fireside featuring UBS's Global Head of Investments

### 3rd Annual STA Women in Finance Symposium

Lively keynote by Morgan Stanley MD Tracy Castle-Newman

### Panel Discussions

Covered Exchange Updates, Listed Options, ETFs and more

### 2nd Annual STA Run/Walk

Around the DC National Mall to promote Wall Street Rides FAR

## Attendance Growth

**71%**

Attendee growth rate over the 6-year period 2012-2018

415

2012

624

2014

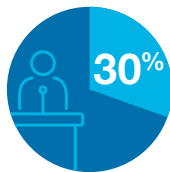
653

2016

708

2018

## Program Diversity



Diverse line-up of speakers across gender and ethnicity



Nearly **110,000** Impressions @STA\_National

Attendees Got Social

2019

October 2-4

86th Annual Market Structure Conference

JW Marriott, Washington DC

For more information on STA and the STA Market Structure Conference, including sponsorship opportunities, contact STA at [sta@securitytraders.org](mailto:sta@securitytraders.org) or 646.699.5996.

**ATS**

Atium  
**BIDS Trading\***  
**CODA Markets\***  
 DBOT ATS LLC  
 IntelligentCross  
**ITG\***  
 ITG Canada  
**Level ATS\***  
**Liquidnet\***  
**Luminex Trading & Analytics\***  
 Omega ATS  
 OneChronos  
**OTC Markets\***  
**The Canadian Securities Exchange\***  
 TriAct MATCHNow

**BUY-SIDE**

AmundiPioneer  
 AQR  
 Ariel Investments  
 Atlanta Capital Management  
 BMO Global Asset Management  
 Capital Group  
 Capital Research and Management Company  
 CCL Investment Management  
 Charles Schwab Investment Management  
 ClearBridge Investments  
 Clearwater Capital  
 Fidelity Investments  
 FIM Group  
 FIS Group  
 Franklin Templeton  
 GMO  
**Hodges Capital\***  
 Intact Investment Management  
 Invesco  
 J.P. Morgan Investment Management  
 Janus Henderson  
 Kavout  
 Lampost Capital  
 Manulife Asset Management  
 Marsico Capital  
 National Bank Financial  
 National Bank Independent Network  
 Next Century Growth Investors  
 Northern Trust / FlexShares  
 Nuance Investments  
 OMERS  
 Parks Capital Management  
 Piedmont Investment Advisors  
 PIMCO  
 Quantlab Financial  
 RBC Global Asset Management  
 Ruppert Trading BV  
 SBH  
 Suttonview Capital  
 T. Rowe Price Associates  
 TD Asset Management  
 The London Company  
 Two Sigma Investments  
 UBS Asset Management  
 US Trust  
 Vanek  
 Vanguard  
 Voya Investment Management  
 Waratah  
 Wellington Management Company  
 William Blair Investment Management

**CLEARING**

ABN AMRO Clearing  
 COR Clearing  
 SageTrader  
 Velox Clearing

**CONSULTANT/LAW FIRM**

BlockWorks Group  
 Capgemini  
 Committee on Capital Markets Regulation  
 Distinction LLC  
 Federal Hall Policy  
**Financial Information Forum\***  
 First Derivatives  
**Forefront Communications\***  
 Jordan & Jordan  
 Milken Institute  
 Modern Markets Initiative  
 Morvillo Abramowitz Grand Iason & Anello PC  
**Murphy & McGonigle\***  
**O'Neill & Partners\***  
 Paredes Strategies  
 Patomak Global Partners  
 PBVA  
 Roberts & Ryan  
 Sidley Austin  
 Strategic Exchanges  
 Williams & Jensen

**EXCHANGE**

BOX Options Exchange  
**Cboe Global Markets\***  
 Deutsche Borse Group  
 IEX  
 Intercontinental Exchange Holdings  
 Johannesburg Stock Exchange  
 KRX (Korean Exchange)  
**MIAX Exchange\***  
**Nasdaq\***  
 Nasdaq Canada  
**NYSE\***  
**TMX Group\***

**FOUNDATION/ACADEMIC**

Autism Science Foundation  
 Foundation for Women Warriors  
 Georgetown University  
 Knopman Marks Financial Training  
 Texas A & M

**GOVERNMENT/REGULATOR/SRO**

Alberta Securities Commission  
 British Columbia Securities Commission  
 DTCC  
 FINRA  
 House Financial Services Committee  
 The Options Clearing Corporation  
 United States Senate  
 US Securities and Exchange Commission

**PRESS**

Bloomberg Law  
 Bloomberg News  
 Curatia  
 FIA  
 John Lothian News  
 Markets Media  
 Politico  
 Reuters News  
 S&P Global Market Intelligence  
 TABB Group  
 The Block  
 The Options Insider Media Group  
 The Wall Street Journal  
 ThinkAdvisor  
 Traders Magazine  
 TradeTalks

**PRINCIPAL TRADING FIRM**

**Chicago Trading Company\***  
 DRW Holdings  
 Flow Traders  
**Group One Trading\***  
 Hudson River Trading  
**IMC\***  
**Jane Street\***

Jump Trading  
 Select Vantage  
**Sterling Trading Tech\***  
 Tower Research Capital  
**Trillium\***  
**Virtu Financial\***  
**Walleye Trading\***  
 XR Trading  
 XTX Markets

**RETAIL ORDER ENTRY**

Ameriprise Financial  
 Cetera Financial Group  
 Charles Schwab & Co.  
 E\*Trade Securities  
**Edward Jones\***  
**Fidelity Capital Markets\***  
 RBC Wealth Management  
 TD Ameritrade  
 TradeStation Securities  
 Vanguard  
 Wells Fargo Advisors

**SELL-SIDE**

**B. Riley FBR\***  
**Baird\***  
**Bank of America Merrill Lynch\***  
**Barclays\***  
 Bernstein  
 Bloomberg TradeBook  
 BMO Capital Markets  
**BNY Mellon Capital Markets\***  
 Canaccord Genuity  
 Cantor Fitzgerald  
**CAPIS\***  
 CIBC Bank USA  
 CIBC Capital Markets  
**Citadel Securities\***  
**Citi\***  
 CLSA Americas  
 Cowen  
**Credit Suisse\***  
**Deutsche Bank\***  
 Evercore ISI  
**Fidelity Capital Markets\***  
 G1X - Susquehanna  
 Global Liquidity Partners  
**Goldman Sachs\***  
 Greenwich Associates  
**Guzman & Company\***  
**HSBC\***  
**Instinet\***  
**INTL FCStone Financial\***  
 J.P. Morgan  
**JonesTrading\***  
**KeyBanc Capital Markets\***  
 Macquarie  
**MCAP\***  
**Mizuho\***  
**Morgan Stanley\***  
 Omega Securities  
**Oppenheimer & Co.\***  
 Paulson Investment Company  
 Piper Jaffrey  
 Potamus Trading  
 RBC Capital Markets  
 Rosenblatt Securities  
 Sandler O'Neill  
 Scotiabank  
 Siebert Cisneros Shank & Co.  
**State Street Global Advisors\***  
 Stifel  
**Susquehanna International Group\***  
**TD Securities\***  
 Themis Trading  
**Two Sigma Securities\***  
**UBS\***  
 USAM Group  
 WallachBeth Capital  
 Wedbush Securities  
**Weeden & Co\***  
**Wolverine Execution Services\***

**TRADE ASSOCIATION**

American Securities Association  
**Boston STA\***  
**Canadian STA\***  
**Carolina STA\***  
**Dallas STA\***  
**Denver STA\***  
**Florida STA\***  
**Georgia Securities Association\***  
**Investment Traders Association of Philadelphia\***  
**Kansas City Securities Association\***  
**Minnesota Security Dealers Association\***  
**Ohio STA\***  
**Seattle STA\***  
 SIFMA  
 STA  
**STA Chicago\***  
**STA Connecticut\***  
**STA Los Angeles\***  
**STA Portland\***  
**STA St. Louis\***  
**STA Wisconsin\***  
**STANY\***

**VENDOR**

Ambisafe  
**BestXStats\***  
 Bloomberg  
 Capital Markets Gateway  
 Chicago Clearing Corporation  
**Clearpool Group\***  
 CoinList  
 CoinRoutes  
**Dash Financial Technologies\***  
 Data Boiler Technologies  
 Eventus Systems  
 Exegy  
 Eze Software Group  
**Fidessa\***  
 FlexTrade Systems  
**Hanweck\***  
**Hyannis Port Research\***  
 ITG/Matrix Executions  
 Itiviti  
 Kx Systems  
 Magma Trading  
 MSCI  
 NewsEdge  
**Pragma Securities\***  
 ProShares  
 QuoteMedia  
 S&P Dow Jones Indices  
**S3\***  
 SS&C Technologies  
 t-Zero  
 Thesys Technologies  
**Thomson Reuters\***  
 TickSmith Corp  
 Trademas  
 TRAFIX  
**Vela\***  
 Velocity Ledger

85 \*Sponsors