2016 Market Structure Conference

A diverse audience of industry professionals, coupled with a slate of highly-qualified speakers and subject matter experts generated a positive response from attendees and sponsors.

Firms Attended

252

- Sell Side: 67
- Buy Side: 53
- Vendors: 29
- Law Firms/Consultants: 22
- Exchanges: 11
- Retail Order Entry: 11
- Principal Trading Firms: 11
- Press: 13
- ATS: 13
- Gov’t/Regulator/SRO: 9

Survey Results

Did you find the content educational and balanced?

74%

Did you find the Williams & Jensen post-conference notes useful?

71%

Will you attend next year’s conference?

99%

Session Highlights

SEC Chair
Mary Jo White
Presented standing room only keynote address

Political Speakers
Senator Ben Sasse, Congressmen Scott Garrett and Randy Hultgren

Buy-Side Only Roundtable
Pertinent discussion kicked off by TABB Group CEO Larry Tabb

STA Women in Finance
Inaugural Symposium on communication and career development

The Great Abdication
Insight from former Dallas Fed Advisor Danielle DiMartino Booth

Save The Date:
84th Annual Market Structure Conference
September 13-15, 2017
JW Marriott
Washington DC

Attendance Growth

2016: 653
2012: 415
57% Growth Rate

For more information on STA and the STA Market Structure Conference, including sponsorship opportunities, contact STA at sta@securitytraders.org or 646.699.5996.
## 2016 Attending Firms (by firm type)

### CONSULTANT
- Aplomad Strategies*
- Edelman
- Financial Information Forum
- Forefront Communications Group
- Global Markets Advisory Group
- Greenwich Associates
- Impact & Influence for Women
- Jordan & Jordan
- Kreatio
- M Group Strategic Communications
- Money Strong, LLC
- Oyster Consulting
- Paredes Strategies
- RIAliz
- SenaHill Partners
- TABB Group

### PRINCIPAL TRADING FIRM
- Chicago Trading Company
- Flow Traders
- IMC Financial Markets*
- Jane Street Capital
- Optiver U.S.
- RGM Securities
- Sun Trading
- Tower Research Capital
- Virtu Financial*
- Wolverine Trading/Securities
- XR Trading

### BUY-SIDE
- Alden Global Capital
- Aperio Group
- Birch Bay Capital
- BlackRock
- BMO Asset Management
- Brevan Howard
- California State Teachers’ Retirement System
- Capital World Investors
- ClearBridge Investments
- Clearwater Capital
- Columbia Partners Investment Management
- Connor Clark & Lunn Investment Management
- Cornerstone Investment Partners
- Deep Field Asset Management
- Doheny Asset Management
- Employees Retirement System of Texas
- Empyrean Capital
- Fifth Third Bank
- Flexpoint Ford
- Franklin Templeton
- Henderson Geneva Capital
- Hodges Capital
- Invesco
- J.P. Morgan Asset Management
- Jarius Capital Group
- Lampost Financial Group
- Manulife Asset Management
- Merrill Lynch
- Monogram Hall Asset Management
- NexShares
- Oppenheimer Funds
- PIMCO
- Powerhouse Capital
- ProShare Advisors
- Quantab Financial
- R.M. Davis
- Rainier Investment Management
- RBC Global Asset Management
- Red Rocks Capital
- RGM Advisors
- Silver Fern Investments
- SSGA
- T. Rowe Price
- TD Asset Management
- Texas Teachers
- The Boston Company
- Asset Management
- The Capital Group
- Two Sigma Investments
- USAM Group
- Waddell & Reed Investment Management
- Wells Fargo Advisors
- Western Southern Financial Group
- William Blair Investment Management

### CORRESPONDENT/PRIME CLEARING
- Bank of America Merrill Lynch*
- COR Clearing
- Cowen Prime Services
- Electronic Transaction Clearing
- TD Ameritrade Clearing

### EXCHANGE
- Aequitas NEO Exchange
- Bats Global Markets*
- BOX Options Exchange
- CBOE*
- Chicago Stock Exchange*
- ICE NYSE*
- IEX Group*
- MIAX Options
- Nasdaq*
- National Stock Exchange
- TMX Toronto Stock Exchange*

### FOUNDATION/ACADEMIC
- Georgetown University
- Wall Street Warfighters Foundation

### GOVERNMENT/REGULATOR/SRO
- Canadian Securities Exchange
- DTCC*
- Embassy of Canada
- FINRA
- House Committee on Financial Services
- Ontario Securities Commission
- Securities and Exchange Commission
- Senate Banking Housing and Urban Affairs
- U.S. Department of the Treasury

### LAW FIRM
- Bradley Arant Boult Cummings*
- Davis Polk & Wardwell
- Murphy & McGonigle*
- Patomak Global Partners
- Sidney Austin
- Williams & Jensen

### PRESS
- Bloomberg BNA
- Bloomberg News
- CNBC
- Curia
- Equities.com
- FTSE Global Markets
- John Lothian News
- Markets Media
- Modern Trader
- Politico
- Sing Tao Daily
- The Wall Street Journal

### RETAIL ORDER ENTRY
- Ameriprise Financial
- Charles Schwab & Co.
- E*TRADE Securities
- Edward Jones*
- Fidelity Capital Markets*
- Integral Derivatives
- OptionsHouse
- optionsxpress
- Scottrade*
- TD Ameritrade
- Vanguard

### SELL-SIDE
- Araxis Capital*
- B. Riley & Co.*
- Baird*
- Barclays*
- Bayes Capital
- Bernstein
- Bloomberg Tradebook
- BMO Capital Markets*
- BNY Mellon*
- Boenning and Scardaggo
- Canaccord Genuity
- Cantor Fitzgerald*
- CAPIS*
- CastleOak Securities
- Cheevers and Company*
- CIBC World Markets
- Citadel Securities*
- Cth*
- CLSA Americas
- Conveyor*
- Covex Group*
- Credit Suisse*
- Cuttone & Co.
- Deutsche Bank*
- Drexel Hamilton
- Euro Pacific Capital
- Evercore ISI
- Fidelity Capital Markets*
- G1X-Susquehanna*
- Global Liquidity Partners
- Goldman Sachs*
- Imperial Capital
- Instinet*
- Instinet Canada
- INTL FCStone Financial*
- J.P. Morgan*
- Jefferies*
- JonesTrading*
- KCG*
- Lime Brokerage*
- Macquarie Group
- Mischler Financial
- Mizuho Securities USA
- Morgan Stanley
- National Bank Financial
- Pershing
- Piper Jaffray*
- Prime Exectuations
- Raymond James
- RBC Capital Markets

### TRADE ASSOCIATION
- Committee on Capital Markets Regulation
- Investment Company Institute
- Modern Markets Initiative
- Security Traders Association
- SIFMA
- STA New York

### VENDOR
- BestXStats*
- Bloomberg SSEOMS
- Capgemini
- Celerion Group*
- Dash Financial*
- Eventus Systems*
- Exegy
- Fidessa*
- Fidessa Canada
- Finnax Tech*
- FIS Global
- FlexTrade Systems
- FT Options
- Fundamental Interactions
- Hyannis Port Research
- IHS Markit*
- ITIVITI
- Linedata
- Neovest
- Potamus Trading
- Pragma Securities*
- REDI Global
- RegSmart Solutions
- S3*
- Thomson Reuters*
- Trade Informatics
- TradeStation Securities
- Trillium*
- ULLINK

*2016 Sponsoring Firms